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| <b>MEETING:</b> | Audit Committee                    |
| <b>DATE:</b>    | Wednesday, 5 December 2018         |
| <b>TIME:</b>    | 4.00 pm                            |
| <b>VENUE:</b>   | Reception Room, Barnsley Town Hall |

## AGENDA

### Procedural/Administrative Items

1. Declarations of Pecuniary and Non-Pecuniary Interest
2. Minutes (*Pages 3 - 10*)

To receive the minutes of the meeting held on 19<sup>th</sup> September, 2018

### Items for Discussion/Decision

3. Strategic Risk Register - Full Review - October, 2018 (*Pages 11 - 24*)

The Executive Director Core Services will submit a report prefacing a report that is programmed to be considered by Cabinet on the 9<sup>th</sup> January, 2018 on the latest review of the Strategic Risk Register.

4. Annual Governance Statement 2017/18 - Action Plan (*Pages 25 - 32*)

The Chief Executive and Executive Director Core Services will submit a joint report presenting the updated Action Plan relating to issues identified following the Annual Governance Review for 2017/18.

5. Risk Management Framework Review (*Pages 33 - 50*)

The Service Director Financial Services will submit a report on a further review of the Risk Management Framework seeking to provide assurance that it remains fit for purpose prior to the Framework being considered by Cabinet.

6. Annual Review of the Effectiveness of Internal Audit (*Pages 51 - 58*)

The Head of Internal Audit and Corporate Anti-Fraud will submit a report informing the Committee of the framework proposed to prepare, manage and deliver the Quality Assurance and Improvement Programme for the Internal Audit Function as required by the Public Sector Internal Audit Standards 2017.

7. Internal Audit Progress Report 2018/19 (*Pages 59 - 74*)

The Head of Internal Audit and Corporate Anti-Fraud will submit a report summarising the Internal Audit activity completed and the key issues arising for the period 1<sup>st</sup> July to 31<sup>st</sup> October, 2018 together with information regarding the performance of the Internal Audit function for that period.

### Items for Information

8. Treasury Management Activities and Leasing Review - Quarter Ended 30th September, 2018 (*Pages 75 - 90*)

The Executive Director Core Services and Service Director Finance (Section 151 Officer) will submit a joint report that was considered by Cabinet on the 28<sup>th</sup> November and to be considered by Council on the 29<sup>th</sup> November, 2018 reviewing the Council's Treasury Management and Leasing Activities during the second quarter of 2018/19 in accordance with the CIPFA Treasury Management Code and the CIPFA Prudential Code.

9. External Audit - Progress Report and Sector Update (*Pages 91 - 102*)

The Council's External Auditor (Grant Thornton) will submit a report giving details about their work as at 20<sup>th</sup> November, 2018, outlining the audit deliverables for 2018/19 together with a Sector Update summarising emerging national issues and developments.

10. Audit Committee Work Plan 2018/19 (*Pages 103 - 106*)

The Committee will receive the indicative Audit Committee Work Plan for 2018/19.

To: Chair and Members of Audit Committee:-

Councillors Richardson (Chair), Barnard, Clements and Lofts; together with Independent members Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks

Diana Terris, Chief Executive  
All Executive Directors  
Andrew Frosdick, Executive Director Core Services  
Rob Winter, Head of Internal Audit  
Neil Copley, Service Director Finance  
Ian Rooth, Head of Financial Services  
Adrian Hunt, Risk Management Manager  
Michael Potter, Service Director Business Improvement and Communications  
Louise Booth, Audit Manager

Council Governance Unit – 3 copies

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Tuesday, 27 November 2018